# Q4B Evaluation and Recommendation of Pharmacopoeial Texts for Use in the ICH Regions

## **Annex 12: Analytical Sieving General Chapter**

This draft guidance, when finalized, will represent the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

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2	INTERNATIONAL CONFERENCE ON HARMONISATION OF TECHNICAL
3	REQUIREMENTS FOR REGISTRATION OF PHARMACEUTICALS FOR HUMAN USE
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8	<b>EVALUATION AND RECOMMENDATION OF</b>
9	PHARMACOPOEIAL TEXTS FOR USE IN THE ICH REGIONS
10	ON
11	ANALYTICAL SIEVING GENERAL CHAPTER
12	Q4B ANNEX 12
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17	Current Step 2 Version 1
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27	At Step 2 of the ICH Process, a consensus draft text or guideline, agreed by the appropriate ICH
28	Expert Working Group, is transmitted by the ICH Steering Committee to the regulatory
29	authorities of the three ICH regions (the European Union, Japan and the USA) for internal and

external consultation, according to national or regional procedures.

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# Q4B Annex 12 Document History

Current Step 2 version

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Q4B Annex 12	Approval by the Steering Committee under Step 2 and release	29 October
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51	<b>EVALUATION AND RECOMMENDATION OF</b>
52	PHARMACOPOEIAL TEXTS FOR USE IN THE ICH REGIONS
53	ON
54	ANALYTICAL SIEVING GENERAL CHAPTER
55	Q4B Annex 12
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57	Draft ICH Consensus Guideline
58	Released for Consultation on 29 October 2009, at Step 2 of the ICH Process
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Final STEP 2 - Annex 12 Analytical Sieving October 28, 2009 82 EVALUATION AND RECOMMENDATION OF 83 PHARMACOPOEIAL TEXTS FOR USE IN THE ICH REGIONS 84 85 **ON** 86 87 ANALYTICAL SIEVING GENERAL CHAPTER 88 89 90 91 92 93 Q4B Annex 12 1. **INTRODUCTION** This annex is the result of the Q4B process for the Analytical Sieving General Chapter. 94 95 The proposed texts were submitted by the Pharmacopoeial Discussion Group (PDG). 96 97 2. **Q4B OUTCOME** 98 99 100 2.1. **Analytical Procedures** 101 102 The ICH Steering Committee, based on the evaluation by the Q4B Expert Working 103 Group (EWG), recommends that the analytical procedures described in the official 104 pharmacopoeial texts, Ph.Eur. 2.9.38, Particle-size Distribution Estimation by Analytical 105 Sieving, JP 3.04 Particle Size Determination entitled *Method 2*. *Analytical Sieving* 106 Method, and USP General Chapter <786> Particle Size Distribution Estimation by 107 Analytical Sieving, can be used as interchangeable in the ICH regions. 108 108 2.2 Acceptance Criteria 111 The texts evaluated did not contain acceptance criteria. 112 113 **3.** TIMING OF ANNEX IMPLEMENTATION 115 When this annex is implemented (incorporated into the regulatory process at ICH Step 5) in a 116 region, it can be used in that region. Timing might differ for each region. 117 118 CONSIDERATIONS FOR IMPLEMENTATION 4.

#### 4.1 **General Consideration**

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When sponsors or manufacturers change their existing methods to the implemented Q4B-evaluated pharmacopoeial texts that are referenced in Section 2.1 of this annex, any change notification, variation, and/or prior approval procedures should be handled in accordance with established regional regulatory mechanisms pertaining to compendial changes.

### 4.2 FDA Consideration

Based on the recommendation above, and with reference to the conditions set forth in this annex, the pharmacopoeial texts referenced in Section 2.1 of this annex can be considered interchangeable. However, FDA might request that a company demonstrate that the chosen method is acceptable and suitable for a specific material or product, irrespective of the origin of the method.

### 4.3 EU Consideration

 For the European Union, regulatory authorities can accept the reference in a marketing authorisation application, renewal or variation application citing the use of the corresponding text from another pharmacopoeia as referenced in Section 2.1, in accordance with the conditions set out in this annex, as fulfilling the requirements for compliance with the Ph. Eur. Chapter 2.9.38. on the basis of the declaration of interchangeability made above.

## 4.4 MHLW Consideration

The pharmacopoeial texts referenced in Section 2.1 of this annex can be used as interchangeable in accordance with the conditions set out in this annex. Details of implementation requirements will be provided in the notification by MHLW when this annex is implemented.

## 5. REFERENCES USED FOR THE Q4B EVALUATION

**5.1** The PDG Stage 5B sign-off document: *Japanese Pharmacopoeial Forum*, Volume 16, number 2 (June 2007).

The pharmacopoeial references for the Analytical Sieving General Chapter for this annex are:

## **5.2.1** *European Pharmacopoeia* (Ph. Eur.):

 Supplement 6.2 (published December 11, 2007, and official July 2008), Particle-size Distribution Estimation by Analytical Sieving (reference 07/2008:20938).

## **5.2.2** *Japanese Pharmacopoeia* (JP):

 3.04 Particle Size Determination as it appeared in Supplement II to the JP Fifteenth Edition (September 30, 2009, The Ministerial Notification No. 425). The draft English version of the JP text provided by MHLW is appended (see Appendix A).

## **5.2.3** *United States Pharmacopeia* (USP):

 <786> Particle Size Distribution Estimation by Analytical Sieving, USP 32 Supplement 2 (official 12/1/09), and Errata in Interim Revision Announcement to USP 32 appearing in *Pharmacopeial Forum*, Vol. 35, no. 5, released September 1, 2009, and official October 1, 2009.

## 184 APPENDIX A

## Draft JP XV Supplement II English Text Provided by MHLW

## 3.04 Particle Size Determination

This test is harmonized with the European Pharmacopoeia and the U.S. Pharmacopeia. The parts of the text that are not harmonized are marked with symbols (\* •).

\*Particle Size Determination is a method to determine directly or indirectly morphological appearance, shape, size and its distribution of powdered pharmaceutical drugs and excipients to examine their micrometric properties. Optical microscopy and analytical sieving method may be used depending on the measuring purpose and the properties of test specimen.

## Method 1. Optical Microscopy

The optical microscopy is used to observe the morphological appearance and shape of individual particle either directly with the naked eye or by using a microscopic photograph, in order to measure the particle size. The particle size distribution can also be determined by this method. It is also possible with this method to measure the size of the individual particle even when different kinds of particles mingle if they are optically distinguishable. Data processing techniques, such as image analysis, can be useful for determining the particle distribution. ▶

This method for particle characterization can generally be applied to particles 1  $\mu$ m and greater. The lower limit is imposed by the resolving power of the microscope. The upper limit is less definite and is determined by the increased difficulty associated with the characterization of larger particles. Various alternative techniques are available for particle characterization outside the applicable range of optical microscopy. Optical microscopy is particularly useful for characterizing particles that are not spherical. This method may also serve as a base for the calibration of faster and more routine methods that may be developed.

Apparatus – Use a microscope that is stable and protected from vibration. The microscope magnification (product of the objective magnification, ocular magnification, and additional magnifying components) must be sufficient to allow adequate characterization of the smallest particles to be classified in the test specimen. The greatest numerical aperture of the objective should be sought for each magnification range. Polarizing filters may be used in conjunction with suitable analyzers and retardation plates. Color filters of relatively narrow spectral transmission should be used with achromatic objectives and are preferable with apochromats and are required for appropriate color rendition in photomicrography. Condensers corrected for at least spherical aberration should be used in the microscope substage and with the lamp. The numerical aperture of the substage condenser should match that of the objective under the condition of use; this is affected by the actual aperture of the condenser diaphragm and the presence of immersion oils.

**Adjustment** – The precise alignment of all elements of the optical system and proper focusing are essential. The focusing of the elements should be done in accordance with the recommendations of the microscope manufacturer. Critical axial alignment is recommended.

**Illumination** – A requirement for good illumination is a uniform and adjustable intensity of light over the entire field of view; Kohler illumination is preferred. With colored particles, choose the color of the filters used so as to control the contrast and detail of the image.

**Visual Characterization** – The magnification and numerical aperture should be sufficiently high to allow adequate resolution of the images of the particles to be characterized. Determine the actual magnification using a calibrated stage micrometer to calibrate an ocular micrometer. Errors can be minimized if the magnification is sufficient that the image of the particle is at least 10 ocular divisions. Each objective must be calibrated separately. To calibrate the ocular scale,

the stage micrometer scale and the ocular scale should be aligned. In this way, a precise determination of the distance between ocular stage divisions can be made.

\*When the particle size is measured, an ocular micrometer is inserted at the position of the ocular diaphragm, and a calibrated stage micrometer is placed at the center of the microscope stage and fixed in place. The ocular is attached to the lens barrel and adjusted to the focus point of the stage micrometer scale. Then, the distance between the scales of the two micrometers is determined, and the sample size equivalent 1 division of the ocular scale is calculated using the following formula:

The particle size equivalent 1 division on the ocular scale ( $\mu$ m) = Length on the stage micrometer ( $\mu$ m)/Number of scale divisions on the ocular micrometer

The stage micrometer is removed and the test specimen is placed the microscope stage. After adjusting the focus, the particle sizes are determined from the number of scale divisions read through the ocular.

Several different magnifications may be necessary to characterize materials having a wide particle size distribution.

**Photographic Characterization** – If particle size is to be determined by photographic methods, take care to ensure that the object is sharply focused at the plane of the photographic emulsion. Determine the actual magnification by photographing a calibrated stage micrometer, using photographic film of sufficient speed, resolving power, and contrast. Exposure and processing should be identical for photographs of both the test specimen and the determination of magnification. The apparent size of a photographic image is influenced by the exposure, development, and printing processes as well as by the resolving power of the microscope.

**Preparation of the Mount** – The mounting medium will vary according to the physical properties of the test specimen. Sufficient, but not excessive, contrast between the specimen and the mounting medium is required to ensure adequate detail of the specimen edge. The particles should rest in one plane and be adequately dispersed to distinguish individual particles of interest. Furthermore, the particles must be representative of the distribution of sizes in the material and must not be altered during preparation of the mount. Care should be taken to ensure that this important requirement is met. Selection of the mounting medium must include a consideration of the analyte solubility.

**Crystallinity Characterization** – The crystallinity of a material may be characterized to determine compliance with the crystallinity requirement where stated in the individual monograph of a drug substance. Unless otherwise specified in the individual monograph, mount a few particles of the specimen in mineral oil on a clean glass slide. Examine the mixture using a polarizing microscope: the particles show birefringence (interference colors) and extinction positions when the microscope stage is revolved.

Limit Test of Particle Size by Microscopy – Weigh a suitable quantity of the powder to be examined (for example, 10 to 100 mg), and suspend it in 10 mL of a suitable medium in which the powder does not dissolve, adding, if necessary, a wetting agent. A homogeneous suspension of particles can be maintained by suspending the particles in a medium of similar or matching density and by providing adequate agitation. Introduce a portion of the homogeneous suspension into a suitable counting cell, and scan under a microscope an area corresponding to not less than  $10~\mu g$  of the powder to be examined. Count all the particles having a maximum dimension greater than the prescribed size limit. The size limit and the permitted number of particles exceeding the limit are defined for each substance.

**Particle Size Characterization** – The measurement of particle size varies in complexity depending on the shape of the particle and the number of particles characterized must be sufficient to insure an acceptable level of uncertainty in the measured parameters. For spherical particles, size is defined by the diameter. For irregular particles, a variety of definitions of particle size exist. In general, for irregularly shaped particles, characterization of particle size must also include information on the type of diameter measured as well as information on particle shape. Several commonly used measurements of particle size are defined below (see Fig. 3.04-

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Feret's Diameter – The distance between imaginary parallel lines tangent to a randomly oriented particle and perpendicular to the ocular scale.

Martin's Diameter – The diameter of the particle at the point that divides a randomly oriented particle into two equal projected areas.

Projected area Diameter – The diameter of a circle that has the same projected are as the particle.

Length – The longest dimension from edge to edge of a particle oriented parallel to the ocular scale.

Width – The longest dimension of the particle measured at right angles to the length.

**Particle Shape Characterization** – For irregularly shaped particles, characterization of particle size must also include information on particle shape. The homogeneity of the powder should be checked using appropriate magnification. The following defines some commonly used descriptors of particle shape (see Fig. 3.04-2):

Acicular – Slender, needle-like particle of similar width and thickness.

Columnar – Long, thin particle with a width and thickness that are greater than those of an acicular particle.

Flake – Thin, flat particle of similar length and width.

Plate – Flat particles of similar length and width but with greater thickness than flakes.

Lath – Long, thin, and blade-like particle.

Equant – Particles of similar length, width, and thickness; both cubical and spherical particles are included.

**General Observations** – A particle is generally considered to be the smallest discrete unit. A particle may be a liquid or semisolid droplet; a single crystal or polycrystalline; amorphous or an agglomerate. Particles may be associated. This degree of association may be described by the following terms:

Lamellar – Stacked plates.

315 Aggregate – Mass of adhered particles.

Agglomerate – Fused or cemented particles.

317 Conglomerate – Mixture of two or more types of particles.

318 Spherulite – Radial cluster.

319 Drusy – Particle covered with tiny particles.

Particle condition may be described by the following terms:

321 Edges – Angular, rounded, smooth, sharp, fractured.

Optical – Color (using proper color balancing filters), transparent, translucent, opaque.

323 Defects – Occlusions, inclusions.

324 Surface characteristics may be described as:

325 Cracked – Partial split, break, or fissure.

326 Smooth – Free of irregularities, roughness, or projections.

Porous – Having openings or passageways.

Rough – Bumpy, uneven, not smooth.

329 Pitted – Small indentations.

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#### Method 2. Analytical Sieving Method

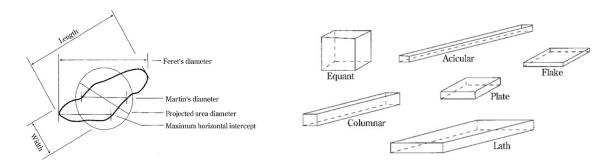
<sup>4</sup>The analytical sieving method is a method to estimate the particle size distribution of powdered pharmaceutical drugs by sieving. The particle size determined by this method is shown as the size of a minimum sieve opening through which the particle passes. "Powder" here means a gathering of numerous solid particles. ▶

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 Table 3.04-1.
 Sizes of Standard Sieve Series in Range of Interest

ISO Nominal Aperture								
Principal sizes	Supplementary sizes		US Sieve No.	Recommended USP Sieves (microns)	European Sieve No.	Japan Sieve No.		
R 20/3	R 20	R 40/3						
1.20 mm	11.20 mm 10.00 mm	11.20 mm			11200			
	9.00 mm	9.50 mm						
8.00 mm	8.00 mm 7.10 mm	8.00 mm						
		6.70 mm						
5.60 mm	6.30 mm 5.60 mm	5.60 mm			5600	3.5		
	5.00 mm	4.75 mm				4		
4.00 mm	4.50 mm 4.00 mm	4.00 mm	5	4000	4000	4.7		
	3.55 mm	3.35 mm	6			5.5		
	3.15 mm							
2.80 mm	2.80 mm 2.50 mm	2.80 mm	7	2800	2800	6.5		
	2.24 mm	2.36 mm	8			7.5		
2.00 mm	2.00 mm 1.80 mm	2.00 mm	10	2000	2000	8.6		
	1.00	1.70 mm	12			10		
1.40 mm	1.60 mm 1.40 mm	1.40 mm	14	1400	1400	12		
	1.25 mm	1.10 11111	1.1	1100	1400	14		
	1.12 mm	1.18 mm	16			14		
.00 mm	1.00 mm	1.00 mm	18	1000	1000	16		
	900 μm	850 µm	20			18		
	800 μm	650 μm	20			10		
710 µm	710 μm 630 μm	710 µm	25	710	710	22		
	030 μπ	600 µm	30			26		
	560 μm	<b>E</b> 00	25	<b>500</b>	<b>200</b>	20		
500 μm	500 μm 450 μm	500 μm	35	500	500	30		
		$425~\mu m$	40			36		
355 µm	400 μm 355 μm 315 μm	355 μm	45	355	355	42		
	στο μιπ	300 µm	50			50		
0 E O	280 μm		CO	950	950	60		
250 μm	250 μm 224 μm	250 μm	60	250	250	60		
		$212~\mu m$	70			70		
180 μm	200 μm 180 μm 160 μm	180 μm	80	180	180	83		
		150 μm	100			100		
125 μm	140 μm 125 μm	125 μm	120	125	125	119		
.20 μ	125 μm 112 μm			120	120			
	100 μm	106 µm	140			140		
90 μm	90 μm	90 μm	170	90	90	166		
	80 µm	75 μm	200			200		
	71 μm							
63 μm	63 μm 56 μm	63 μm	230	63	63	235		
	50 μm	53 μm	270			282		
$45~\mu m$	45 μm	45 μm	325	45	45	330		
	40 μm	38 µm			38	391		





**Fig. 3.04-1** Commonly used measurements of particle size

**Fig. 3.04-2** Commonly used descriptions of particle shape

Sieving is one of the oldest methods of classifying powders and granules by particle size distribution. When using a woven sieve cloth, the sieving will essentially sort the particles by their intermediate size dimension (i.e., breadth or width). Mechanical sieving is most suitable where the majority of the particles are larger than about 75  $\mu$ m. For smaller particles, the light weight provides insufficient force during sieving to overcome the surface forces of cohesion and adhesion that cause the particles to stick to each other and to the sieve, and thus cause particles that would be expected to pass through the sieve to be retained. For such materials other means of agitation such as air-jet sieving or sonic sifting may be more appropriate. Nevertheless, sieving can sometimes be used for some powders or granules having median particle sizes smaller than 75  $\mu$ m where the method can be validated. In pharmaceutical terms, sieving is usually the method of choice for classification of the coarser grades of single powders or granules. It is a particularly attractive method in that powders and granules are classified only on the basis of particle size, and in most cases the analysis can be carried out in the dry state.

Among the limitations of sieving method are the need for an appreciable amount of sample (normally at least 25 g, depending on the density of the powder or granule, and the diameter of test sieves) and difficulty in sieving oily or other cohesive powders or granules that tend to clog the sieve openings. The method is essentially a two-dimensional estimate of size because passage through the sieve aperture is frequently more dependent on maximum width and thickness than on length.

This method is intended for estimation of the total particle size distribution of a single material. It is not intended for determination of the proportion of particles passing or retained on one or two sieves.

Estimate the particle size distribution as described under  $Dry\ Sieving\ Method$ , unless otherwise specified in the individual monograph. Where difficulty is experienced in reaching the endpoint (i.e., material does not readily pass through the sieves) or when it is necessary to use the finer end of the sieving range (below 75  $\mu$ m), serious consideration should be given to the use of an alternative particle-sizing method.

Sieving should be carried out under conditions that do not cause the test sample to gain or lose moisture. The relative humidity of the environment in which the sieving is carried out should be controlled to prevent moisture uptake or loss by the sample. In the absence of evidence to the contrary, analytical test sieving is normally carried at ambient humidity. Any special conditions that apply to a particular material should be detailed in the individual monograph.

**Principles of Analytical Sieving** – Analytical test sieves are constructed from a woven-wire mesh, which is of simple weave that is assumed to give nearly square apertures and is sealed into the base of an open cylindrical container. The basic analytical method involves stacking the sieves on top of one another in ascending degrees of coarseness, and then placing the test powder on the top sieve.

The nest of sieves is subjected to a standardized period of agitation, and then the weight of material retained on each sieve is accurately determined. The test gives the weight percentage of

394 powder in each sieve size range.

This sieving process for estimating the particle size distribution of a single pharmaceutical powder is generally intended for use where at least 80% of the particles are larger than 75  $\mu$ m. The size parameter involved in determining particle size distribution by analytical sieving is the length of the side of the minimum square aperture through which the particle will pass.

## TEST SIEVES

Test sieves suitable for pharmacopoeial tests conform to the most current edition of International Organisation for Standardization (ISO) Specification ISO 3310-1; Test sieves-Technical requirements and testing (see Table 3.04-1). Unless otherwise specified in the monograph, use those ISO sieves listed in the Table as recommended in the particular region.

Sieves are selected to cover the entire range of particle sizes present in the test specimen. A nest of sieves having a  $\sqrt{2}$  progression of the area of the sieve openings is recommended. The nest of sieves is assembled with the coarsest screen at the top and the finest at the bottom. Use micrometers or millimeters in denoting test sieve openings. [Note – Mesh numbers are provided in the table for conversion purposes only.] Test sieves are made from stainless steel or, less preferably, from brass or other suitable non-reactive wire.

Calibration and recalibration of test sieves is in accordance with the most current edition of ISO 3310-1<sup>2)</sup>. Sieves should be carefully examined for gross distortions and fractures, especially at their screen frame joints, before use. Sieves may be calibrated optically to estimate the average opening size, and opening variability, of the sieve mesh. Alternatively, for the valuation of the effective opening of test sieves in the size range of 212 to 850 µm, Standard Glass Spheres are available. Unless otherwise specified in the individual monograph, perform the sieve analysis at controlled room temperature and at ambient relative humidity.

**Cleaning Test Sieves** – Ideally, test sieves should be cleaned using only an air jet or a liquid stream. If some apertures remain blocked by test particles, careful gentle brushing may be used as a last resort.

Test Specimen – If the test specimen weight is not given in the monograph for a particular material, use a test specimen having a weight between 25 and 100 g, depending on the bulk density of the material, and test sieves having a 200 mm diameter. For 76 mm sieves the amount of material that can be accommodated is approximately 1/7<sup>th</sup> that which can be accommodated on a 200 mm sieve. Determine the most appropriate weight for a given material by test sieving accurately weighed specimens of different weights, such as 25, 50, and 100 g, for the same time period on a mechanical shaker. [Note – If the test results are similar for the 25-g and 50-g specimens, but the 100-g specimen shows a lower percentage through the finest sieve, the 100-g specimen size is too large.] Where only a specimen of 10 to 25 g is available, smaller diameter test sieves conforming to the same mesh specifications may be substituted, but the endpoint must be re-determined. The use of test samples having a smaller mass (e.g. down to 5 g) may be needed. For materials with low apparent particle density, or for materials mainly comprising particles with a highly iso-diametrical shape, specimen weights below 5 g for a 200 mm screen may be necessary to avoid excessive blocking of the sieve. During validation of a particular sieve analysis method, it is expected that the problem of sieve blocking will have been addressed.

If the test material is prone to picking up or losing significant amounts of water with varying humidity, the test must be carried out in an appropriately controlled environment. Similarly, if the test material is known to develop an electrostatic charge, careful observation must be made to ensure that such charging is not influencing the analysis. An antistatic agent, such as colloidal silicon dioxide and/or aluminum oxide, may be added at a 0.5 percent (m/m) level to minimize this effect. If both of the above effects cannot be eliminated, an alternative particle-sizing technique must be selected.

**Agitation Methods** – Several different sieve and powder agitation devices are commercially available, all of which may be used to perform sieve analyses. However, the different methods of agitation may give different results for sieve analyses and endpoint determinations because of the different types and magnitude of the forces acting on the individual particles under test. Methods using mechanical agitation or electromagnetic agitation, and that can include either a vertical

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oscillation or a horizontal circular motion, or tapping or a combination of both tapping and horizontal circular motion, are available. Entrainment of the particles in an air stream may also be used. The results must indicate which agitation method was used and the agitation parameters used (if they can be varied), since changes in the agitation conditions will give different results for the sieve analysis and endpoint determinations, and may be sufficiently different to give a failing result under some circumstances.

**Endpoint Determination** – The test sieving analysis is complete when the weight on any of the test sieves does not change by more than 5% or 0.1 g (10% in the case of 76 mm sieves) of the previous weight on that sieve. If less than 5% of the total specimen weight is present on a given sieve, the endpoint for that sieve is increased to a weight change of not more than 20% of the previous weight on that sieve.

If more than 50% of the total specimen weight is found on any one sieve, unless this is indicated in the monograph, the test should be repeated, but with the addition to the sieve nest of a more coarse sieve intermediate between that carrying the excessive weight and the next coarsest sieve in the original nest, i.e., addition of the ISO series sieve omitted from the nest of sieves.

## **SIEVING METHODS**

## **Mechanical agitation**

**Dry Sieving Method** – Tare each test sieve to the nearest 0.1 g. Place an accurately weighed quantity of test specimen on the top (coarsest) sieve, and replace the lid. Agitate the nest of sieves for 5 minutes. Then carefully remove each from the nest without loss of material. Reweigh each sieve, and determine the weight of material on each sieve. Determine the weight of material in the collecting pan in a similar manner. Reassemble the nest of sieves, and agitate for 5 minutes. Remove and weigh each sieve as previously described. Repeat these steps until the endpoint criteria are met (see *Endpoint Determination* under *Test Sieves*). Upon completion of the analysis, reconcile the weights of material. Total losses must not exceed 5% of the weight of the original test specimen.

Repeat the analysis with a fresh specimen, but using a single sieving time equal to that of the combined times used above. Confirm that this sieving time conforms to the requirements for endpoint determination. When this endpoint has been validated for a specific material, then a single fixed time of sieving may be used for future analyses, providing the particle size distribution falls within normal variation.

If there is evidence that the particles retained on any sieve are aggregates rather than single particles, the use of mechanical dry sieving is unlikely to give good reproducibility, and a different particle size analysis method should be used.

## **Air Entrainment Methods**

**Air Jet and Sonic Shifter Sieving** – Different types of commercial equipment that use a moving air current are available for sieving. A system that uses a single sieve at a time is referred to as *air jet* sieving. It uses the same general sieving methodology as that described under the *Dry Sieving Method*, but with a standardized air jet replacing the normal agitation mechanism. It requires sequential analyses on individual sieves starting with the finest sieve to obtain a particle size distribution. Air jet sieving often includes the use of finer test sieves than used in ordinary dry sieving. This technique is more suitable where only oversize or undersize fractions are needed.

In the *sonic sifting* method, a nest of sieves is used, and the test specimen is carried in a vertically oscillating column of air that lifts the specimen and then carries it back against the mesh openings at a given number of per minute. It may be necessary to lower the sample amount to 5 g, when sonic shifting is employed.

The air jet sieving and sonic sieving methods may be useful for powders or granules when mechanical sieving techniques are incapable of giving a meaningful analysis.

These methods are highly dependent upon proper dispersion of the powder in the air current. This requirement may be hard to achieve if the method is used at the lower end of the sieving range (i.e., below 75  $\mu$ m), when the particles tend to be more cohesive, and especially if there is

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any tendency for the material to develop an electrostatic charge. For the above reasons endpoint determination is particularly critical, and it is very important to confirm that the oversize material comprises single particles and is not composed of aggregates.

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## INTERPRETATION

The raw data must include the weight of test specimen, the total sieving time, and the precise sieving methodology and the set values for any variable parameters, in addition to the weights retained on the individual sieves and in the pan. It may be convenient to convert the raw data into a cumulative weight distribution, and if it is desired to express the distribution in terms of a cumulative weight undersize, the range of sieves used should include a sieve through which all the material passes. If there is evidence on any of the test sieves that the material remaining it is composed of aggregates formed during the sieving process, the analysis is invalid.

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1) Additional information on particle size measurement, sample size, and data analysis is available, for example, in ISO 9276.

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<sup>2)</sup> International Organization for Standardization (ISO) Specification ISO 3310-1; Test sieves-Technical requirements and testing